

10/522495  
DTOT Rec'd PCT/PT 26 JAN 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Inneman, et al.  
Serial No: Not Yet Assigned  
Confirmation No: Not Yet Assigned  
Filed: Herewith  
For: SOLLER SLIT USING LOW DENSITY MATERIALS  
  
Examiner: Not Yet Assigned  
Art Unit: Not Yet Assigned

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International application; and before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this

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communication was not received by the office of the undersigned more than 30 days prior to filing of this Information Disclosure Statement.

PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

1. The above-identified U.S. application claims priority to application Serial No. PCT/GB2003/003307. If the Examiner has not had the benefit of review of the file history of PCT/GB2003/003307, then he/she is asked to contact the undersigned, who will provide a copy of same.
2. The Applicant would like to bring to the Examiner's attention the enclosed search report from PCT/GB/2003/003307.

PART IV: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

See the enclosed Search Report for the relevance of EP0438345A1.

PART V: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

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By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Adolf Inneman, et al., Applicants*

By: 

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|---|---|----|---|-----------------------------------|--|------------------------------------|--|--|--|
| <b>FORM PTO-1449/A and B (Modified)</b><br><br><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> |   |    |   | APPLICATION NO.: Not Yet Assigned |  | ATTY. DOCKET NO.: B2018-7001US     |  |  |  |
|   |   |    |   | FILING DATE: Herewith             |  | CONFIRMATION NO.: Not Yet Assigned |  |  |  |
|   |   |    |   | APPLICANT: Inneman, et al.        |  |                                    |  |  |  |
|   |   |    |   | GROUP ART UNIT: Not Yet Assigned  |  | EXAMINER: Not Yet Assigned         |  |  |  |
| Sheet   | 1 | of | 1 |                                   |  |                                    |  |  |  |

**U.S. PATENT DOCUMENTS**

| Examiner's Initials | Cite No. | U.S. Patent Document |           | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue of Cited Document<br>MM-DD-YYYY |
|---------------------|----------|----------------------|-----------|---|---|
|                     |          | Number               | Kind Code |   |   |
|                     |          | *4,739,173           |           | Blosser, et al.                                 | 04-19-1988  |
|                     |          |                      |           |   |   |
|                     |          |                      |           |   |   |
|                     |          |                      |           |   |   |
|                     |          |                      |           |   |   |
|                     |          |                      |           |   |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner's Initials | Cite No. | Foreign Patent Document |        |           | Name of Patentee or Applicant of Cited Document<br>(not necessary) | Date of Publication of Cited Document<br>MM-DD-YYYY | Translation (Y/N) |
|---------------------|----------|-------------------------|--------|-----------|--|---|-------------------|
|                     |          | Office/Country          | Number | Kind Code |  |   |                   |
|                     |          | EP                      | 438345 | A1        | Roudaut  | 07-24-1991  |                   |
|                     |          | EP                      | 999555 | A1        | Fujinawa, et al.   | 05-10-2000  |                   |

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

| Examiner's Initials | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) |
|---------------------|---------|---|-------------------|
|                     |         | XP-000867631, High-Efficiency Soller Slit Collimators for Thermal Neutrons, Journal of Physics E: Scientific Instruments, 1977, Volume 10, Printed in Great Britain, Pages 543-546  |                   |
|                     |         | XP 000423451, Polycapillary Collimator for Point Source Proximity X-ray Lithography, Vartanian, et al., J.Vac. Sci. Technol. B, Vol. 11, No. 6 Nov/Dec 1993, Pages 3003-3007  |                   |
|                     |         |   |                   |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.